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## Board Governance and Personnel Committee Meeting

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**September 19, 2023 ~ 2:00 p.m.**

SERS Board Room, 5<sup>th</sup> Floor, 30 North 3<sup>rd</sup> Street, Harrisburg, PA 17101

SERS Livestream Access - <https://www.pacast.com/live/sers>

For meeting updates text "SERS" to 888-665-0184

1. Call to Order and Roll Call
  2. Welcome and Introductions
  3. Approval of Minutes of July 18, 2023, Board Governance and Personnel Committee meeting
  4. Old Business
    - a. Board 2023 Self-Assessment Procurement and Process
  5. Special Presentations (None)
  6. New Business
    - a. New Strategic Plan Update (July 1, 2023 – June 30, 2027)
      - i. Discussion of Board Reporting Metrics
      - ii. Discussion of Development of Board Dashboards
    - b. 2023 SERS BG&PC Governance Review
      - i. Board Policy Review
        1. Standards of Conduct for SERS Board
          - a. Exhibit A – Ethical Conduct Policy
          - b. Exhibit B – Recusal Policy
          - c. Exhibit F – SERS Financial Disclosure Policy
          - d. Exhibit G – SERS Financial Disclosure Filing Process
        2. Insider and Personal Trading Policy
        3. SEC Pay to Play Compliance and Reporting Policy
        4. Governing Laws, Mission, and Guiding Principles
        5. Evaluation Process for Select Key Positions
7. Executive Session
  - a. Salary Band Pay Adjustments for Two Investment Office Staff Professionals
  - b. Request for Raise for Investment Professional in Office of Financial Management
8. Comments/Concerns/Questions
9. Motion to Adjourn

**Committee Members:** David Fillman (Chair), Treasurer Stacy Garrity, Senator Vincent Hughes (Assistant Chair), Gregory Thall, and Acting Secretary Wendy Spicher

NEXT COMMITTEE MEETING DATE – December 5, 2023